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NOTICE OF ALLOWANCE AND FEE(S) DUE

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7590

03/23/2004

HENKEL CORPORATION THE TRIAD, SUITE 200 2200 RENAISSANCE BLVD. GULPH MILLS, PA 19406

| EXAMINER | | | | |
|-----------|--------------|--|--|--|
| FEELY, MI | CHAEL J | | | |
| ART UNIT | PAPER NUMBER | | | |

1712

DATE MAILED: 03/23/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/869,133 | 11/26/2001 | Yasuhiro Kinoshita | M 6830 PCT/US | 2321 |

TITLE OF INVENTION: WATER-BASED METAL SURFACE TREATMENT COMPOSITION FOR FORMING LUBRICATING FILM WITH EXCELLENT MARRING RESISTANCE

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE | |
|----------------|--------------|-----------|-----------------|------------------|------------|--|
| nonprovisional | NO | \$1330 | \$0 | \$1330 | 06/23/2004 | |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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| 00423 7590 | | | | | ertificate of Mailing or Trans | mission |
| HENKEL CORPO THE TRIAD, SUITI 2200 RENAISSANG | E 200 CE BLVD. | | | I hereby certify that the States Postal Service addressed to the Ma | this Fee(s) Transmittal is being with sufficient postage for firm il Stop ISSUE FEE address PTO, on the date indicated below. | g deposited with the United st class mail in an envelope above, or being facsimile |
| GULPH MILLS, PA | 19406 | | | | | (Depositor's name) |
| | | | | | | (Signature) |
| | | | | | | (Date) |
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| EXAMI | NER | ART UNIT | | CLASS-SUBCLASS | 7 | |
| FEELY, MI | 1712 | | 428-423100 | | | |
| PTO/SB/47; Rev 03-02 or Number is required. 3. ASSIGNEE NAME AND | nce address (or Change of C 2) attached. or (or "Fee Address" Indica r more recent) attached. Us RESIDENCE DATA TO E an assignee is identified be I to the USPTO or is being | Correspondence tion form e of a Customer BE PRINTED ON THe | names of up to agents OR, alter firm (having as agent) and the r attorneys or age will be printed. HE PATENT (print a will appear on the trate cover. Comple | a natent Inclusion of | attorneys or 1 e of a single d attorney or 2 stered patent ed, no name 3 assignee data is only appropri of a substitute for filing an assignee assignee assignee assignee assignee assignee data is only appropriate assignees data as only appropriate assignees data is only appropriate assignees data as only appropriate assignees data as only appropriate as only appropria | ate when an assignment has |
| | enclosed: | 4b. [[| Payment of Fee(s) A check in the a Payment by crec The Director is Deposit Account N | : mount of the fee(s) is e lit card. Form PTO-203 hereby authorized by lumber | 38 is attached. charge the required fee(s), or(enclose an extra | credit any overpayment, to copy of this form). |
| Director for Patents is reques | sted to apply the Issue Fee a | and Publication Fee (| if any) or to re-app | ly any previously paid | l issue fee to the application id | entified above. |
| (Authorized Signature) | | (Date) | | | | |
| NOTE; The Issue Fee and other than the applicant; interest as shown by the red | a registered attorney or ag cords of the United States F | gent; or the assigned atent and Trademark | Office. | " | | |
| This collection of informa obtain or retain a benefit application. Confidentiality estimated to take 12 minut completed application for case. Any comments on suggestions for reducing t Patent and Trademark (22313-1450. DO NOT S SEND TO: Commissioner Under the Paperwork Recollection of information u | the amount of time you the amount of time you his burden, should be sent Offlice, U.S. Department END FEES OR COMPL for Patents, Alexandria, Vi | require to complete to the Chief Inform of Commerce, Al ETED FORMS TO rginia 22313-1450. | upon the individual this form and/onation Officer, U.S. exandria, Virgini THIS ADDRESS | il r s i. | | |



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Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.